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and Trademarks  
Washington, D.C. 20231



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Receipt

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MAY 07 2002

GROUP 3600

Attention: OFFICE OF INITIAL PATENT EXAM.  
CUST. SERVICE CENTER

Re: Our File: WAVE 3.0-009  
Applicant: SMITH  
Serial No.: 10/002,601  
Filed: 11/01/01  
For: RIBBED MODULE FOR WAVE

Group Art Unit: 3617

Examiner:

Batch No.:

Dear Sir:

ENERGY DISPERSION

Enclosed for filing in the United States Patent and Trademark Office is the following:

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Law Firm Transmittal Letter;             | <input type="checkbox"/> Letter/Official Draftsman;                          |
| <input type="checkbox"/> Response/Amendment;                                 | <input type="checkbox"/> Petition;   |
| <input type="checkbox"/> Affidavit/Declaration;                              | <input type="checkbox"/> Request for Ext. of Time;                           |
| <input type="checkbox"/> Notice of Appeal;                                   | <input type="checkbox"/> Small Entity Statement(s);                          |
| <input type="checkbox"/> Assignment and cover sheet;                         | <input checked="" type="checkbox"/> Postcard acknowledging                   |
| <input type="checkbox"/> Certificate of Correction;                          | receipt of above-identified  |
| <input type="checkbox"/> Check No. _____ - \$ _____;                         | material   |
| <input type="checkbox"/> Claim of Priority - Cert'd Copies;                  |  |
| <input type="checkbox"/> Communication;                                      | <input checked="" type="checkbox"/> <u>FILING RECEIPT (COPY)</u>             |
| <input type="checkbox"/> Drawings _____ sheets -                             | <input checked="" type="checkbox"/> <u>REQUEST CORRECTION FILING RECEIPT</u> |
| Formal/Informal;   |  |
| <input type="checkbox"/> Issue Fee/Maintenance Fee                           |  |
| <input type="checkbox"/> Information Disclosure Statement; Reference, Tabs - |  |

Conditional Petition and Fee for Extension of Time: If any extension of time for the accompanying response is required, applicant requests that this be considered a petition therefor.

In connection with the above-identified matter, please charge any additional fees or any other charges related to this matter to the deposit account of the writer, No. 23-0812.

Respectfully submitted,

Edward R. Weingram  
Registration No. 24,493  
WEINGRAM & ASSOCIATES, PC  
P.O. BOX 927  
Maywood, NJ 07607  
TEL: (201) 843-6300  
FAX: (201) 843-6495

Enclosures

DATED: 4/18/02

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231, ON

DATE: 4/18/02

  
(Signature)

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WAVE 3.0-009  
AMENDMTS/2D18PB01.WAV



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PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: DENNIS G. SMITH  
Serial No.: 10/002,601  
Filing Date: November 1, 2001  
For: RIBBED MODULE FOR WAVE ENERGY DISPERSION

Assistant Commissioner for Patents  
ATTN: OFFICE OF INITIAL PATENT EXAMINATION  
CUSTOMER SERVICE CENTER  
Washington, DC 20231

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REQUEST FOR CORRECTION OF FILING RECEIPT

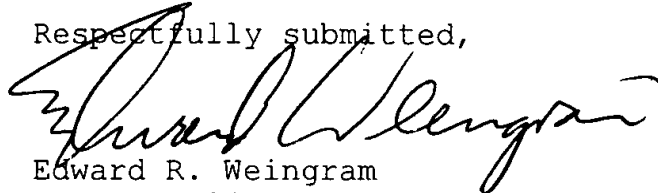
Sir:

This is to request correction of an error in the Filing Receipt, namely, in the Domestic Priority Data as claimed by applicant. Please delete the second item "AND CLAIMS BENEFIT OF 60/159,368 10/14/1999".

Attached herewith is a copy of the Claim for Benefit of Earlier US applications that was filed with the above-referenced application. It does not include a priority claim to 60/159,368. It is not clear where this number (60/159,368) came from. If you have support for this priority claim, please advise.

A photocopy of the first page of the official Filing Receipt with the correction shown in red ink is enclosed herewith. A corrected Filing Receipt is requested to be mailed to the undersigned and the records of the USPTO amended accordingly.

Respectfully submitted,



Edward R. Weingram  
Reg. No. 24,493  
Attorney for Applicant  
WEINGRAM & ASSOCIATES, P.C.  
P.O. Box 927  
Maywood, NJ 07607  
Tel: 201-843-6300  
Fax: 201-843-6495

Dated: 4/18/02



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
| 10/002,601         | 11/01/2001  | 3617         | 435           | WAVE 3.0-009     | 13       | 13         | 3          |

28885  
WEINGRAM & ASSOCIATES P.C.  
P.O. BOX 927  
MAYWOOD, NJ 07607

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CONFIRMATION NO. 4198

UPDATED FILING RECEIPT



\*OC000000007862048\*

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Date Mailed: 04/12/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Dennis G. Smith, Summit, NJ;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 29/132,444 11/09/2000

~~AND CLAIMS BENEFIT OF 60/459,300 10/14/1999~~

AND CLAIMS BENEFIT OF 60/259,368 12/29/2000

## Foreign Applications

If Required, Foreign Filing License Granted 12/20/2001

Projected Publication Date: 07/25/2002

Non-Publication Request: No

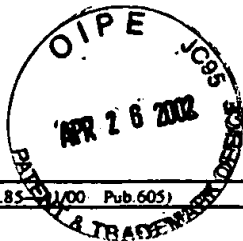
Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Ribbed module for wave energy dispersion

## Preliminary Class



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EL725 192671

(Rel. 85-1100 Pub. 605)

FORM 1-2.1

1-25

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Practitioner's Docket No. WAVE 3.0-009

**ADDED PAGE TO COMBINED DECLARATION  
AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION  
OR C-I-P APPLICATION**

*(complete this part only if this is a divisional, continuation or C-I-P application)*

**CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)  
UNDER 35 U.S.C. 120**

I hereby claim the benefit, under Title 35, United States Code, § 120, of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information

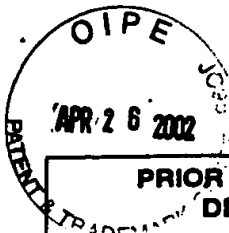
- ☒ that is material to patentability as defined in 37, Code of Federal Regulations, § 1.56

*(also check the following item, if desired)*

☐ and that is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable examiner would consider it important in deciding whether to allow the application to issue as a patent, that occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application. (37 C.F.R. § 1.63(e)).

*(also check the following item, if desired)*

- ☐ In compliance with this duty, there is attached an information disclosure statement, in accordance with 37 C.F.R. § 1.98.



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EL725192671

| PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS<br>DESIGNATING THE U.S. FOR BENEFIT UNDER 35 USC 120: |                    |  |         |           |
|---|--------------------|--|---------|-----------|
| U.S. APPLICATIONS   |                    | Status (check one)                               |         |           |
| U.S. APPLICATIONS   | U.S. FILING DATE   | Patented   | Pending | Abandoned |
| 1.0 / 29/132,444  | Nov. 9, 2000       |  | X       |           |
| 2.0 /   |                    |  |         |           |
| 3.0 /   |                    |  |         |           |
| PCT APPLICATIONS DESIGNATING THE U.S.   |                    |  |         |           |
| PCT APPLI-<br>CATION NO.  | PCT FILING<br>DATE | U.S.<br>APPLICATION<br>NOS. ASSIGNED<br>(if any) |         |           |
| 4. /  |                    | 0 /  |         |           |
| 5. /  |                    | 0 /  |         |           |
| 6. /  |                    | 0 /  |         |           |

(Added Page to Combined Declaration and Power of Attorney for Divisional,  
Continuation or C-I-P Application [1-2.1]—page 2 of 3)



E1725192671

(Ref. 85-11/00 Pub. 605)

FORM 1-2.1

1-27

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**35 USC § 119 PRIORITY CLAIM, IF ANY,  
FOR ABOVE LISTED U.S./PCT APPLICATIONS**

| ABOVE<br>APPLICATION<br>NO.                           | DETAILS OF U.S. PROVISIONAL OR FOREIGN APPLICATION<br>FROM WHICH PRIORITY CLAIMED UNDER 35 USC § 119 |   |                                     |
|---|--|---|-------------------------------------|
| Please indicate<br>appropriate PCT<br>application no. | Country and<br>Application No.   | Date of filing<br>(day, month,<br>year) | Date of issue<br>(day, month, year) |
| 1.  | 60/259,368   | 12/29/00                                | n/a                                 |
| 2.  |  |   |                                     |
| 3.  |  |   |                                     |
| 4.  |  |   |                                     |
| 5.  |  |   |                                     |
| 6.  |  |   |                                     |
| 7.  |  |   |                                     |
| 8.  |  |   |                                     |

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EL725 19 2671

(Pat. 74-1297) (Rev. 6-65)

FORM 49

4-100.11

Practitioner's Docket No. WAVE 3.1DES-004 / 3.0-009 **PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: DENNIS G. SMITH  
Serial No.: 029/132,444 Group No.: 2900  
Filed: 11/09/00 Examiner:  
For: WAVE ENERGY EXTRACTION MODULE

Assistant Commissioner for Patents  
Washington, D.C. 20231

**NOTIFICATION OF FILING OF CONTINUING,  
DIVISIONAL OR CONTINUED PROSECUTION APPLICATION**

Notification is hereby being made of the filing of a:

- ☐ continuation
- ☒ continuation-in-part
- ☐ divisional
- ☐ continued prosecution

application for this case

- ☒ concurrently herewith.
- ☒ on November 1, 2001

Date

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**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**  
(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

- ☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

37 CFR 1.8(a)

- ☐ with sufficient postage as first class mail.

37 CFR 1.10\*

- ☒ as "Express Mail Post Office to Addressee"  
Mailing Label No. \_\_\_\_\_ (mandatory)

**TRANSMISSION**

- ☐ transmitted by facsimile to the Patent and Trademark Office.

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Date: 11/01/01

Signature

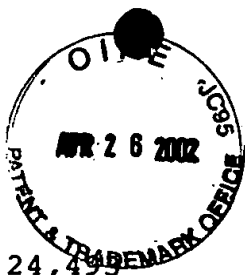
Edward R. Weingram

(type or print name of person certifying)

**\*WARNING:** Each paper or fee filed by Express Mail must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.





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Reg. No. 24,499

Tel. No.: (201) 843-6300

Customer No.: 28885

**SIGNATURE OF PRACTITIONER**

Edward R. Weingram

(Type or print name of practitioner)

P.O. Address  
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